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Book Review:

Потоковые Алгоритмы (Flow Algorithms)

by G. M. Adel'son-Vel'ski, E. A. Dinic, and A. V. Karzanov

by

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Introduction

This is a review of the book *Flow Algorithms* by Adel'son-Vel'ski, Dinic, and Karzanov,[1] well-known researchers in the area of algorithm design and analysis. This remarkable book, published in 1975, is written in Russian and has never been translated into English. What is remarkable about the book is that it describes many major results obtained in the Soviet Union (and originally published in papers by 1976) that were independently discovered later (and in some cases much later) in the West. The book also contains some minor results that we believe are still unknown in the West. The book is well-written and a pleasure to read, at least for someone fluent in Russian. Although the book is fifteen years old and we believe that all the major results contained in it are known in the West by now, the book is still of great historical importance. Hence a complete review is in order.

The apparent fact that, until recently, no Western researcher had looked closely at the book and the underlying papers serves as an important reminder for Western researchers to be aware of the work outside of their normal circles. This fact is especially embarrassing because Dinic and Karzanov were well known in the West for their work on network flows by at least 1976, when the theoretical superiority of their network flow algorithms [10, 16], compared to contemporary ones available in the West, was recognized by Western researchers. (The general feeling at the time was that the significance of this work should have been recognized sooner.) After that, these algorithms became the object of intense studies in the West as well as in the Soviet Union. The book in question, however, remained unknown in the West until recently, when it was "discovered" by the second author.[2]

Except for this introduction, we try to keep the review objective; we give a section-by-section summary of the book. All attributions in the review are as the authors of the book make them.[3] As it is not our purpose to attempt a definitive history of network flow algorithms in the framework of this review, we do not explicitly point out which results appeared fist in the Russian literature, nor who independently discovered them in the West. We believe that the information and references provided here will enable informed readers to make their own historical comparisons and attributions.

Although the style of the book is contemporary, some of the material is quite dated because of the progress made during the fifteen years since the book was written. The body of our review simply reflects the book's contents. No statements or conjectures from the book have been altered to reflect current knowledge.

In preparing this review, we also read additional papers in Russian that contained valuable results which were new to us, and which we believe will be new to other Western researchers.

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[1] The exact reference is Адельсон-Вельский, Диниц, Карзанов, *Потоковые Алгоритмы*, Наука, Москва 1975. English transcription: Adel'son-Vel'skii, Dinic, Karzanov, *Potokovye Algoritmy*, Science, Moscow. Title translation: Flow Algorithms.

[2] It should be noted however, that the book was not widely circulated in the West; after an extensive search, we found only four U.S. libraries that have it in their catalogs.

[3] We believe, however, that the references in the book are very complete and accurately reflect the literature, both Russian and Western, at the time the book was written.

We invite the Western algorithms community in general, and the network flow community in particular, to actively read the Russian literature and widely disseminate what you find to be new and interesting.

Notation

Next we state some basic definitions that are needed throughout the review. Our definitions are quite standard, and we assume that the reader is familiar with the concepts discussed here.

A *network* G is a graph (directed unless explicitly stated to be undirected) where each arc (u, v) has an assigned capacity $c(u,$ v). We use U to denote the maximum arc capacity, and we use n and m to denote the number of nodes and arcs, respectively, in the network. We use $\lg$ to denote the base-two logarithm.

Given two nodes s and t (called the *source* and *sink* nodes, respectively) of a directed network G, an s, t flow f is an assignment of nonnegative real values to the arcs such that the following two properties hold:

(1) *Capacity Constraint.* For any arc $(v,$ w), $0 \leq f$ (v, w) $\leq c(v,$ w).

(2) Flow Conservation. For any node v $\neq$ s, t, $f_{in}($ v) $= f_{out}$ (v) where $f_{in}(v)$ is the total flow on arcs directed into v, and $f_{out}(v)$ is the total flow on arcs directed out of v.

The value of the flow f is the total flow entering t, i.e., $\sum_v f(v, t)$. A *maximum s, t flow* in G is an s, t flow whose value is maximum over all s, t flows. For a given s and t, we use M(G) to denote the value of the maximum flow in G. We use $e_f(v)$ to denote the excess of flow f at a node v; the excess is equal to the difference between the incoming and outgoing flows.

When G is undirected, the maximum flow problem on G is obtained by replacing each edge $(u,$ v) with two arcs (u, v) and (v, u), each with capacity $c(u, v)$.

An *s,t cut* $(X, \overline{X})$ in G is a partition of the nodes into two sets X and $\overline{X}$ such that s $\in$ X and $t \in \overline{X}$. The capacity of the cut, denoted $c(X, \overline{X})$, is $\sum_{u \in X, v \in \overline{X}} c(u,$ v).

The edge-connectivity of an undirected graph (where all edges have capacity 1) is the smallest capacity of any cut in G. The *node* connectivity is similarly defined for nodes.

In a *multicommodity flow* more than one type of commodity simultaneously flows on the network, and each commodity has a given source and sink. The total flow (of all commodities in both directions) on an arc must obey the capacity constraints, and each commodity must obey the conservation constraints. The multicommodity flow problem comes in two variants, the *feasibility problem* and the *max-$\sum$ problem*. In the feasibility problem each source has a given supply of each commodity and each sink has a given demand for each commodity (a node may be a source for one commodity and a sink for another); the problem is to determine whether there is a feasible flow

that satisfies all the stated demands for all the commodities. In the **max-$\sum$** problem, the objective is to find a flow which maximizes the total amount of all commodities which reach their sinks.

In the *minimum-cost* ***flow*** *problem* each arc (u, v) is given a number *k(u, v)* (called the cost of arc (u, v)), and the objective is to **find** a maximum s, t flow ofminimum total cost, i.e., a maximum *s, t flow minimizing* $\sum k(u, v) f(u, v)$.

Final Remarks

Before giving the section-by-section review of the book, we would like to remind the reader that the body of the review simply reflects the book's contents. In particular, the attributions are as the authors of the book made them and no statement from the book has been altered to reflect today's state of knowledge.

We also would like to make a comment on citations. Understandably, many citations are to the Russian books and journals; regrettably, most of these do not have English translations. We organize the citations in two groups: English and Cyrillic. Each group is sorted according to the corresponding alphabet. For each **Cyrillic** reference, we also provide either a reference to the corresponding translation (if we are aware of one), or an English transcription and an English translation of the title. Although our title translations are accurate, we cannot guarantee that they will be identical to those of "professional" translators (if a paper will be translated in the future, or if a translation already exists but is unknown to us).

1 The Maximum Flow Problem

1.1 Networks and Network Flows

This section of the book defines the basic concepts, such as flows, cuts, etc. It also states a flow decomposition theorem, and gives an algorithm to decompose a flow into a collection of paths and cycles.

1.2 Ford-Fulkerson Algorithm for the Maximum Flow Problem

The section starts by introducing several fundamental facts about the maximum flow problem. In particular, it shows that the maximum flow value is equal to the minimum cut capacity (the max-flow, **min-cut** theorem) and that a flow is maximum if and only if it admits no augmenting path (the augmenting path theorem). Then the authors introduce the *Ford-Fulkerson augmenting path algorithm (FFA)* [2], and give its implementation using the labeling method. They use the algorithm to prove the integrality theorem, which states that a maximum flow problem with integral arc capacities has an integral optimal solution.

1.3 The Shortest Augmenting Path Algorithm

This section introduces the *shortest augmenting path algorithm (SPA)* of Edmonds and Karp [1] and Dinic [10]. It is shown that the augmenting path length in SPA is monotone and non-decreasing, and at most m augmenting paths of length k, $1 \leq k \leq n - 1$, are found by the algorithm. Thus the number of iterations of the algorithm is at most $(n-1)m = O(n^3)$. This bound is tight [9]. The section concludes with a discussion of the implementation of the SPA using breadth-first search labeling for finding shortest augmenting paths. The complexity of the resulting method is $O(nm^2)$.

1.4 The Layered Network Algorithm

In the SPA algorithm, the work spent looking for augmenting paths dominates the work spent on augmenting the flow and determines the running time of the algorithm. This motivates the *layered network algorithm (LNA)* of Dinic [10], which can be viewed as a variation of the SPA algorithm. This variation finds shortest augmenting paths in a more efficient way using *layered networks*. The LNA runs in $O(n^2m)$ time, which is better then the $O(nm^2)$ time for the SPA algorithm. This bound is tight, as shown in [11] (and implicitly in [9]).

The section ends with a discussion of the optimized version of the layered network algorithm. Each phase of this algorithm starts with the correct layered network, but the layered network is not updated after each augmentation. Instead, the network is updated only if a "dead end" is discovered during a search for an augmenting path.

1.5 The Blocking Flow Algorithm

This section describes the *blocking flow method (BFM)* due to Karzanov [16, 17]. This method is based on the notion of a *blocking flow*; the task of finding a maximum flow in a network is reduced to the task of finding at most $n - 1$ blocking flows in layered networks. The *preflow method* (PM) uses the concept of a *preflow* to find a blocking flow in such a network in $O(n^2)$ time [16, 17]. This method allows flow excesses at nodes in the middle of the execution of the algorithm, giving it more flexibility compared to the augmenting path method. PM solves the maximum flow problem in $O(n^3)$ time.

1.6 Algorithms with Bounds Depending on Logarithms of Capacities

This section describes two algorithms whose running time is a polynomial in n, m, and $\lg U$.

The first algorithm, due to Edmonds and Karp [1], is a variation of FFA that at each iteration selects the highest capacity augmenting path. At each iteration of this algorithm, the residual flow value decreases by at least a factor of $(1 - 1/m)$. For real-valued capacities, the flow computed by the algorithm converges to a maximum flow. For integral capacities, the algorithm terminates

in $mlg\ U$ iterations. An $O(n^2)$ algorithm for computing the highest capacity augmenting path is also described. Combined with an $O(n^2)$ algorithm for computing the highest capacity augmenting path, this yields an $O(n^2 m \lg U)$ bound on the entire algorithm.

The second algorithm is due to Dinic [12] and uses capacity scaling, an idea that was developed independently by Edmonds and Karp [1] and Dinic [12]. The algorithm works by maintaining the parameter K, which starts at $2^{\lfloor \lg U \rfloor}$ and decreases by a factor of two at each scaling iteration. During such an iteration, all capacities are rounded down to the nearest multiple of K, and the maximum flow in the resulting network is computed starting from the flow obtained at the previous iteration, except during the first iteration which starts with the zero flow. If the capacities are integral and LNA is used in the m&mum flow computations, then the algorithm runs in $O(nm)$ time per scaling iteration, for a total of $O(nm \lg U)$ time.

2 Combinatorial Flow Problems

This chapter addresses combinatorial problems that can be reduced to network flow problems. Below, the layered network algorithm and the blocking flow method are referred to as auxiliary *network algorithms (ANA).* Hopcroft and Karp [4] discovered ANA independently of [10] for the special case of the problem of finding systems of distinct representatives.

2.1 Flow Formulation of Combinatorial Problems

Problem of distinct representatives The classical *problem of* distinct *representatives* [3] is as follows: given a collection of n subsets V_i of a set V of size τ = n, find a collection of representatives $v_i \in V_i$ so that representatives of different subsets are distinct. In the *problem* of *restricted representatives* n and τ may be different, and the goal is to find a_i representatives for each V_i, *so* that each $v_j \in V$ represents b_j subsets.

The book describes a reduction of the generalized problem to a special kind of network flow problem. On this network, FFA is equivalent to the classical alternating path method. Results of Section 2.3 imply that ANA solves the problem of distinct representatives in $O(n^{5/2})$ time and the generalized problem in $O(n^{5/3}r)$ time.

Another problem reducible to a special case of the maximum flow problem is that of common restricted representatives [2]. In this problem, the input contains two collections of subsets of V, $\{V_i^1\}$ and $\{V_i^2\}$, for $1 \leq i \leq n \leq r$, and the goal is to select representatives from each subset in such a way that for each collection, the representatives are distinct, and the same set of representatives is used for both collections. Results of Section 2.3 imply $O(n^{3/2}r)$ time bound for the problem.

Minimum cut problems The notion of an edge-cut and a node-cut of a graph are defined, as well as the edge and node connectivity problems. It is shown that these problems can be reduced

to the maximum flow problems on special kinds of networks. Results of Section 2.3 imply that ANA finds a minimum edge-cut between two subsets of nodes in $O(\min(m^{3/2}, n^{2/3}m))$ time and a minimum node-cut between two subsets of nodes in $O(\sqrt{n}m)$ time. The algorithm of Section 2.5 solves the edge-connectivity problem in $O(nm)$ time; the node connectivity problem can be solved in $(k+1)(n-1)$ maximum flow computations [20] (where k is the node connectivity of the network).

2.2 Combinatorial Networks

This section introduces concepts which are used later to analyze ANA on special networks which model combinatorial problems. Such *combinatorial* networks have unit capacities on internal arcs or nodes (where node capacity is defined as a minimum of the total incoming and the total outgoing arc capacity). *An arc-combinatorial* network is a network with unit capacities on internal arcs. The characteristic χ of a network is the sum of arc and node capacities over all internal arcs and nodes. A *general combinatorial network* is a network with characteristic of O(m).

2.3 Analysis for Combinatorial Networks

This section analyses the performance of ANA on combinatorial networks as a function of n, m, and χ. The material of the section is based on [4, 12, 14, 15].

1. A phase of ANA takes $O(\chi + m)$ time on networks with integer capacities and O(m) time on general combinatorial networks.
2. The number of ANA phases is less than $2\sqrt{\chi} + 2$.
3. ANA runs in $O(\chi + m)\sqrt{\chi}$ time on networks with integer capacities and in $O(m\sqrt{\chi})$ time on general combinatorial net works.
4. The number of ANA phases on an arc-combinatorial network is less than $2n^{2/3} + 2$.
5. Suppose G is an arc-combinatorial layered network of length l. Then the number of phases of ANA is less than $\sqrt{8lM(G)} + 1$.
6. Suppose all arcs in a layered network of length l have unit capacities. Then the number of iterations of ANA is $O(\min(\sqrt{nl}, n^{2/3}, n^2/l^2))$.

2.4 A Difficult Example

This section describes a (parameterized) instance of the problem of finding a system of distinct representatives. The ANA takes $\Omega(n^{5/2})$ time on this instance. For the proof, the reader is referred to [15].

2.5 Efficient Algorithm for Finding Edge Connectivity of a Graph

This section is devoted to the problem of finding edge connectivity of a graph with no multiple edges. It is shown that $n - 1$ maximum flow computations are **sufficient** to solve the problem. An algorithm of Podderugin [20] does this in $O(nm)$ time. The algorithm solves the maximum flow problem using a slight variation of FFA, which **differs** from the standard FFA in the way augmenting paths of length one and two are handled. The cost of processing such "short" augmenting paths is O(n) per one maximum flow computation and $O(n^2)$ overall. The number of other augmentations done by the algorithm (along "long" paths) is n overall, and the cost of these augmentations is $O(nm)$.

3 Multiterminal and Multicommodity Problems

3.1 Multiterminal Problems and Solution Methods

The section defines the notion of multiterminal single-commodity flow. This notion leads to several flow problems, in particular the **max-Σ** problem (where the objective is to maximize the sum of flows out of the sources), the feasibility problem (for capacitated sources and sinks), and the problem of finding maximum flows in networks with lower bounds on arc flow in addition to upper bounds. These problems reduce to the maximum flow problem [2].

The following theorem is from [7, 11]: For any pair of **maximum** flows f_1 and f_2, there is a maximum flow f which takes the **same** amount of flow as f_1 from every source and puts the same amount of flow as f_2 into every sink. (This statement is not true for non-maximum flows.)

3.2 Problem with Priorities of Terminals. A Common Layered Network

This section deals with the following version of the multiterminal single-commodity flow problem that was studied independently in [7, 11]. Given an ordering $s_1, \ldots, s_k$ of the network sources, define a source-maximal ***flow*** to be a flow f for which the tuple $(e_f(s_1), \ldots e_f(s_k))$ is lexicographically maximal. The sink-maximal flow is defined in a similar way. A flow that is both source- and **sink**-maximal is called *bimaximal.* Source- and sink-maximal flows are maximum flows, and a **bimaximal** flow always exists.

A bimaximal flow can be constructed from a source-maximal and a sink-maximal flows. The rest of the section is devoted to algorithms for **finding** a sink-maximal flow. (The problem of finding a source-maximal flow is symmetric). Several algorithms to find such a flow are described.

The most efficient algorithm is based on LNA and runs in $O(n^2m)$ time. The algorithm constructs a "common" layered network that contains all nodes of the input graph and all residual arcs that are on shortest paths from s. Using the layered network, the algorithm repeatedly finds shortest paths from s to t_1 until no s-t_1 augmenting path exists; the same procedure is repeated

for t_2, t_3, etc. It is shown that distances to nodes from the source in the residual graph are nondecreasing, and the work involved in augmentations is $O(n^2m)$. The section concludes by describing a way to construct and maintain the layered network at $O(nm)$ cost.

3.3 Directed Multicommodity Problems

This section defines the directed k-commodity flow problem and the corresponding demand graph. It also discusses special cases of the problem with known efficient solutions: the case of a unique source (or unique sink), and the case in which the demand graph is a complete bipartite graph. In these cases the problem reduces to a maximum flow problem. The section concludes with a discussion of a decomposition algorithm for the directed multicommodity problem.

3.4 Undirected Two Commodity Problem

This section introduces the undirected multicommodity flow problem, and comments that the solution methods described in Section 3.3 for the directed problem carry through in the undirected case.

The main result of the section is an algorithm that solves the undirected two-commodity problem. Algorithms for this problem were fist studied by Hu [5, 6]. Cherkassky [21] gave a polynomial-time variant of Hu's algorithm. Then the problem was reduced to a constant number of maximum flow computations, by Dinic based on [21] and by Sakarovitch [8] based on linear programming techniques. The section describes an algorithm that reduces the problem to four maximum flow computations. If the input is integral, then each value of the solution produced by the algorithm is either an integer or an integer plus a half.

3.5 Solved Cases of the Undirected Feasibility Problem

Consider the case of the feasibility problem for undirected graphs, such that every commodity has one of two distinguished nodes as a terminal. In this case the problem can be solved using the two-commodity flow algorithm of Section 3.4 and the flow decomposition algorithm of Section 3.3. A generalization of this is the fact that an Z-commodity feasibility problem, where the edges of its demand graph can be covered by k star graphs, can be reduced to k-commodity max-Σ problem, where the objective is to maximize the total flow of commodities; the reduction adds k edges and l arcs to the original network.

The following results are due to Papernov [19]. Note that given a cut, the capacity of the cut must be at least as big as the sum of demands for pairs of terminals separated by the cut in order for the problem to be feasible. We *call* this the *cut condition.* For what graphs is the converse true? That is, for which graphs is it true that when the cut condition is satisfied for every cut, then there is a feasible multicommodity flow? It is true precisely when the graph is either

1. a union of two star graphs,

2. a cycle of length five, or

3. a complete graph on four nodes.

3.6 Solved Cases of the Undirected Max-X Problem

This section discusses special cases of the undirected **max-Σ** multicommodity problem with known efficient solutions.

The fist result of the section is a reduction from a class of the problems for which demand graphs are a union of two complete bipartite graphs to the two-commodity multiterminal problem. This reduction, which uses the decomposition algorithm (see Section **3.3),** is due to Cherkassky [**23**].

The following is a conjecture of Cherkassky, which is an analog of the max-flow, mm-cut theorem for the case of multiterminal undirected two-commodity flow problem. Let S and *T* be the sets of sources and sinks of commodity 1, respectively, and let *P* and Q be the sets of sources and sinks of commodity 2. Let c(N) denote the capacity of a minimum cut separating the terminals in N from the remaining terminals. Then the value of the maximum two-commodity flow is equal to

$$\frac{1}{2}\min(c(X)\ \mathrm{t}\ \ c(Y) + c(Z) + c(W)),$$

where the minimum is taken over all partitions of terminals such that X ⊂ SUP, $Y \subset T \bigcup P$, Z c $S \bigcup Q$, and W c $T \bigcup Q$.

Now consider an instance of the problem for which the demand graph is a complete graph; in other words, there is a commodity for every pair of terminals. Let c; be the capacity of the minimum cut separating the ith terminal from the remaining ones. Then the maximum flow value is equal to $1/2 \sum_i c_i$. This theorem was stated in [**18**]. Cherkassky [**22**] found an error in the proof of [**18**], gave a correct proof, and presented a polynomial-time algorithm for this class of problems. The section concludes with an outline of the algorithm.

3.7 Completeness of a **Multicommodity** Flow Problem for a Class of Linear Programming Problems

This section shows that the multicommodity flow problem is complete for a class of linear programming problems; the problems in this class appear to be as hard as the general linear programming problem. The problems in this class have two special properties: the variables in the problems are bounded and the matrix has integral coefficients. This result is due to Dinic.

4 Minimum-Cost Flow Problem

This chapter is devoted to the minimum-cost flow problem and the transportation problem reducible to it. Although no strongly-polynomial time algorithm are known for these problems, polynomial-

time algorithms (whose running time depends on logarithms of input numbers) are known. These are scaling algorithms due to Edmonds and Karp [1] and Dinic [12].

The main goal of this chapter is to describe instances of the minimum-cost flow problem resulting in exponential number of iterations of the currently known methods. These results are from [9,13].

4.1 Minimum-Cost Augmenting Path Method for Finding a Maximum Flow of Minimum Cost

The *minimum-cost augmenting path method* ***(MAPM)*** starts with a zero flow and iteratively augments it along a cheapest augmenting path. The method works under the assumption that the input network does not have negative cycles. It is shown that the computation of MAPM can be partitioned into phases; each phase finds a maximum flow in the network of minimum-cost augmenting paths using FFA. Since FFA need not terminate [2], MAPM need not terminate either. A variation of MAMP which uses a polynomial-time maximum flow algorithm to find **maximum** flows in networks of minimum-cost paths always terminates. This variation is called the ***generalized*** *method (GM).* For integral capacities, MAPM terminates in time bounded by the sum of all arc capacities.

4.2 Exponential Example for the Minimum-Cost Augmentation Method

This section describes a parameterized instance such that the number of phases of GM on an instance with $2k$ nodes is 2^{k-1}.

4.3 The Imbalance Canceling Method for the **Transportation** Problem

The section introduces the transportation problem and describes a class of *imbalance canceling* algorithms for it. The *imbalance* at v is the difference between the supply and the current deficit at v if v is a source, and between the demand and the current excess if v is a sink. An imbalance canceling algorithm constructs a sequence of flows such that imbalances monotonically decrease and each flow is optimal for the natural choice of supplies and demands.

A common algorithm in this class is the *Hungarian method* ***(HM).*** The method maintains a feasible price function and either augments flow on a residual path of zero reduced cost arcs from a supply node to a demand node, or updates the price function if no such path exists. As in the algorithm of Section 4.1, a finite maximum flow algorithm can be used to guarantee termination. There are two variations of HM. The *regular* HM starts with a zero flow and price functions. The HM *with a preprocessing stage* first goes though the sources s, **finding** for each one the arc (s, w) of minimum cost, sets the price of s to the cost of (s, w), and sends as much flow as possible along (s, w).

4.4 The Network Simplex Method for the Transportation Problem .

This section describes the **primal** network simplex algorithm for the transportation problem. The particular version described chooses the minimum reduced cost arc to enter the basis and applies the perturbation method to avoid cycling.

4.5 A Relationship Between the Network Simplex Method and the Regular Hungarian Method

This section shows that the network simplex method is equivalent to HM on a slightly modified network.

4.6 Exponential Examples for the Network Simplex Method and the Hungarian Method

This section gives an example of a $k \times k$ transportation problem on which HM takes 2^{k-1} iterations. A modification of this example gives an exponential lower bound on HM with preprocessing. Results of Section 4.5 imply the 2^{k-1} lower bound on the number of iterations of the network simplex method.

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